
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff,

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERSAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendants,

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff,

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

ORDER FOR DISMISSAL WITH PREJUDICE

These cases came before the Court upon the Stipulation for Dismissal With Prejudice between R.J. Zayed, the Court-appointed Receiver (“Receiver”) for the individuals and entities identified as Defendants and Relief Defendants in the cases of *SEC v. Cook et al.*, 09-cv-3333 (D. Minn. 2009) and *CFTC v. Cook et al.*, 09-cv-3332 (D. Minn. 2009), on the one hand, and New York Community Bancorp, Inc. (“NYCB”).

Based upon the Stipulation between the parties and all of the files and documents in these cases, the Court being duly advised in the premises, the Court makes the following Order:

1. R.J. Zayed (hereafter “Receiver”) was appointed by this Court as the receiver for the individuals and entities identified as Defendants and Relief Defendants (hereafter, the “Receivership Entities”) in the cases of *SEC v. Cook et al.*, 09-cv-3333 (D. Minn. 2009) and *CFTC v. Cook et al.*, 09-cv-3332 (D. Minn. 2009) for, among other purposes, to recover funds properly belonging to the over 1,000 defrauded investors.
2. The Receiver commenced proceedings against NYCB by filing a Petition and Amended Petition for Return of Receivership Assets (SEC Docket Nos. 381 and 395 (July 23 and August 4, 2010); CFTC Docket Nos. 351 and 362 (July 23 and August 4, 2010).)
3. The Receiver’s Petition and Amended Petition for Return of Receivership Assets (SEC Docket Nos. 381 and 395 (July 23 and August 4, 2010); CFTC Docket Nos. 351 and 362 (July 23 and August 4, 2010)) are hereby dismissed with prejudice.

4. This dismissal constitutes a final disposition on the merits for all purposes, including claim preclusion and issue preclusion against each person, corporation, company, partnership or entity which the Receiver controls or for which the Receiver is or has been appointed, and all persons and entities in privity with the Receiver with respect to the subject matter of the Receiver's Petition and Amended Petition.

IT IS SO ORDERED.

Dated: April 4, 2011

s/Michael J. Davis
Michael J. Davis
Chief Judge
United States District Court