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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/JJK

v.

TREVOR COOK d/b/a CROWN  
FOREX, LLC, PATRICK KILEY d/b/a  
CROWN FOREX, LLC, UNIVERSAL  
BROKERAGE FX and UNIVERSAL  
BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERSAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/JJK

Plaintiff(s)

v.

TREVOR G. COOK,  
PATRICK J. KILEY,  
UBS DIVERSIFIED GROWTH, LLC,  
UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

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## **ORDER FOR DISMISSAL WITH PREJUDICE**

These cases came before the Court upon the Stipulation for Dismissal With Prejudice between R.J. Zayed, the Court-appointed Receiver (“Receiver”) for the individuals and entities identified as Defendants and Relief Defendants in the cases of *SEC v. Cook et al.*, 09-cv-3333 (D. Minn. 2009) and *CFTC v. Cook et al.*, 09-cv-3332 (D. Minn. 2009), on the one hand, and Wells Fargo Bank, N.A. successor by merger to Wells Fargo Home Mortgage (“Wells Fargo”).

Based upon the Stipulation between the parties and all of the files and documents in these cases, the Court being duly advised in the premises, the Court makes the following Order:

1. R.J. Zayed (hereafter “Receiver”) was appointed by this Court as the receiver for the individuals and entities identified as Defendants and Relief Defendants (hereafter, the “Receivership Entities”) in the cases of *SEC v. Cook et al.*, 09-cv-3333 (D. Minn. 2009) and *CFTC v. Cook et al.*, 09-cv-3332 (D. Minn. 2009) for, among other purposes, to recover funds properly belonging to the over 1,000 defrauded investors.

2. The Receiver commenced proceedings against Wells Fargo Bank, N.A. successor by merger to Wells Fargo Home Mortgage (hereafter, “Wells Fargo”) by filing a Petition and Amended Petition for Return of Receivership Assets (SEC Docket Nos. 382 and 396 (July 23 and August 4, 2010); CFTC Docket Nos. 352 and 363 (July 23 and August 4, 2010).)

3. The Receiver’s Petition and Amended Petition for Return of Receivership Assets (Case 09-3333 Docket Nos. 382 and 396 (July 23 and August 4, 2010); Case 09-

3332 Docket Nos. 352 and 363 (July 23 and August 4, 2010)), are hereby dismissed with prejudice.

4. This dismissal constitutes a final disposition on the merits for all purposes, including claim preclusion and issue preclusion against each person, corporation, company, partnership or entity which the Receiver controls or for which the Receiver is or has been appointed, and all persons and entities in privity with the Receiver with respect to the subject matter of the Receiver's Petition and Amended Petition.

IT IS SO ORDERED.

Dated: January 13, 2011

s/Michael J. Davis  
Michael J. Davis  
Chief Judge  
United States District Court