
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/JJK

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/JJK

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

ORDER

This matter came before the Court on the Receiver's Petition for Return of Receivership Assets from Investor Respondents (SEC Docket No. 384; CFTC Docket No. 354), seeking the return of \$753,900.00 in Receivership funds from Investor Respondent John Dzik. The Court being fully advised in the premises hereby ORDERS as follows:

- (1) Respondent Dzik received \$749,700.00 in Receivership funds on or after June 22, 2009 and placed the funds in Investment Retirement Account ("IRA") number XXX-XXX097 at Fidelity Brokerage Services LLC ("Fidelity"). Pursuant to the Settlement and Stipulation filed with this Court (SEC Docket No. 417; CFTC Docket No. 375), \$687,900.00 of those funds shall be returned to the Receiver in satisfaction of the Receiver's claim against Respondent Dzik;
- (2) Fidelity shall return to the Receiver \$687,900.00 in Receivership funds currently located in IRA account number XXX-XXX097. Fidelity shall wire this amount directly to the Receiver's account by no later than August 27, 2010;
- (3) Upon receipt of the \$687,900.00 from Fidelity, the Receiver shall dismiss his claim against Respondent Dzik; and
- (4) The Court retains jurisdiction over this matter to enforce all terms contained in the settlement and stipulation filed by the parties.

Dated: August 27, 2010

BY THE COURT:

s/Michael J. Davis
MICHAEL J. DAVIS
CHIEF JUDGE
UNITED STATES DISTRICT COURT